

How to Submit a Request for Reconsideration of Penalty

My Plan Administration Account (My PAA)

Last Updated: July 30, 2024





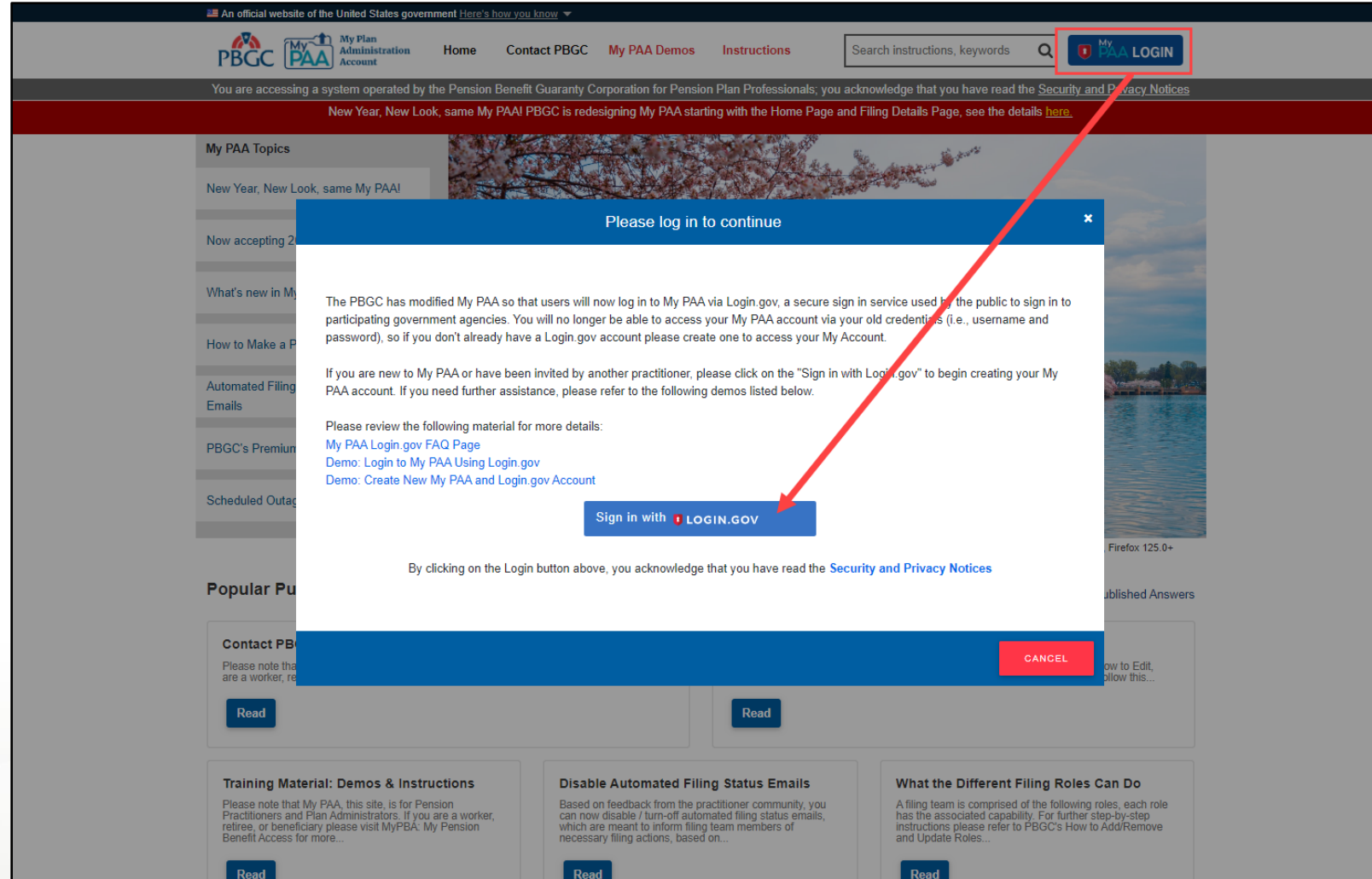
Step-by-Step Instructions

PBGC

How to Submit a Request for Reconsideration (RFR)

Login

- From the Home Page, click on the “My PAA Login” button to log in.
- You will need to log in to your Login.gov account to access your My PAA account.
 - For more details and step-by-step instructions on how to access your My PAA account via Login.gov please view PBGC’s [How to Log in to My PAA Using Login.gov?](#) Published Answer.



The screenshot shows the My PAA Login page. At the top, there is a navigation bar with the PBGC logo, "My Plan Administration Account", and links for Home, Contact PBGC, My PAA Demos, and Instructions. A search bar is also present. A red box highlights the "My PAA LOGIN" button in the top right corner. Below the navigation bar, a message states: "You are accessing a system operated by the Pension Benefit Guaranty Corporation for Pension Plan Professionals; you acknowledge that you have read the Security and Privacy Notices". A red arrow points from the "My PAA LOGIN" button to a modal window titled "Please log in to continue". The modal contains the following text: "The PBGC has modified My PAA so that users will now log in to My PAA via Login.gov, a secure sign in service used by the public to sign in to participating government agencies. You will no longer be able to access your My PAA account via your old credentials (i.e., username and password), so if you don't already have a Login.gov account please create one to access your My Account. If you are new to My PAA or have been invited by another practitioner, please click on the 'Sign in with Login.gov' to begin creating your My PAA account. If you need further assistance, please refer to the following demos listed below. Please review the following material for more details: My PAA Login.gov FAQ Page Demo: Login to My PAA Using Login.gov Demo: Create New My PAA and Login.gov Account". Below this text is a blue button labeled "Sign in with LOGIN.GOV". At the bottom of the modal, it says "By clicking on the Login button above, you acknowledge that you have read the Security and Privacy Notices". A red "CANCEL" button is located at the bottom right of the modal. The background of the page shows a sidebar with "My PAA Topics" and a main content area with "Popular Published Answers".

How to Submit a Request for Reconsideration (RFR)

Plan List Page

- Once you have logged in to your My PAA account, click on the “Plan List” icon or link from the Home Page.

The screenshot displays the My PAA account interface. At the top, there is a navigation bar with the PBGC logo, "My PAA My Plan Administration Account", and links for Home, Contact PBGC, My PAA Demos, and Instructions. A search bar and a user profile dropdown (jane.doe.sm...) are also present. Below the navigation bar, a message states: "You are accessing a system operated by the Pension Benefit Guaranty Corporation for Pension Plan Professionals; you acknowledge that you have read the Security and Privacy Notices". A red banner below this message reads: "New Year, New Look, same My PAA! PBGC is redesigning My PAA starting with the Home Page and Filing Details Page, see the details [here](#)." The main content area features a sidebar on the left with "My PAA Topics" including "New Year, New Look, same My PAA!", "Now accepting 2024 Filings", "What's new in My PAA & Login Process", "How to Make a Payment?", "Automated Filing Status Transitions and Emails", "PBGC's Premium Disaster Relief Policy", and "Scheduled Outages". The main content area has a background image of cherry blossoms over water and a vertical menu of blue buttons: "Create New / Add Existing Plan", "Plan List" (highlighted with a red box and a red arrow), "In-Process Filings", "Upload or Import Filings", and "View Upload History". At the bottom, it lists "Supported Browsers: Google Chrome 124.0+, Microsoft Edge 124.0+, Firefox 125.0+ (Release 5.7 as of 04/21/2024)".

How to Submit a Request for Reconsideration (RFR)

Plan Details Page

- From the Plan List page, click on the Plan Name link you wish to submit a RFR request for, and then you will automatically be redirected to the Plan Details page.

The screenshot shows the My PAA Account dashboard. At the top, there is a navigation bar with the PBGC logo, 'My PAA My Plan Administration Account', and links for Home, Contact PBGC, My PAA Demos, and Instructions. A search bar is also present. Below the navigation bar, a red banner contains the text: 'New Year, New Look, same My PAA! PBGC is redesigning My PAA starting with the Home Page and Filing Details Page, see the details here.' The main content area features a sidebar with 'My PAA Topics' including 'New Year, New Look, same My PAA!', 'Now accepting 2024 Filings', 'What's new in My PAA & Login Process', and 'How to Make a Payment?'. The main area has a large image of cherry blossoms and a menu with 'Create New / Add Existing Plan', 'Plan List' (highlighted with a red box), and 'In-Process Filings'. A red arrow points from the 'Plan List' menu item to the 'Plan Details Page' link in the table below.

Plans in your My PAA Account

The below list consists of all of your Plans. You can use the Create New Filing column buttons to create new filings based on your Role. You can use the Latest Filing Status column buttons to complete the next step for each Filing based on your Role(s). Use the Links in the Filing ID and Plan Name columns to see its corresponding details page.

Filter by Latest Filing Status

Show 10 entries Search:

Create New Filing	Plan ID	EIN	PN	Plan Name	Latest Filing Update	Latest Filing ID	Latest PYC	Latest Filing Status
	2420303	131311313	121	MY PAA 2.0 PROD CUT OVER CREATE TEST PLAN UPDATE	07/26/2024	539331		Draft
	86264	123456789	001	SPECIAL NON-PREMIUM TRANSACTIONS	06/05/2024	500753	01/01/2022	Submitted
	2420311	898989898	898	PRACTITIONER REMOVED FROM ANOTHER PLAN	11/01/2023	506658	01/01/2023	Draft

Showing 1 to 3 of 3 entries

First 1 Last

How to Submit a Request for Reconsideration (RFR)

Plan Details Page

- Below the Plan Details are the premium-related action buttons. Click on the “Submit Request” button to access all notifications that were sent to the plan.

An official website of the United States government [Here's how you know](#)

My Plan Administration Account Home Contact PBGC My PAA Demos Instructions Search instructions, keywords jane.doe.sm...

You are accessing a system operated by the Pension Benefit Guaranty Corporation for Pension Plan Professionals; you acknowledge that you have read the [Security and Privacy Notices](#)

New Year, New Look, same My PAA! PBGC is redesigning My PAA starting with the Home Page and Filing Details Page, see the details [here](#).

[Return to Plan List](#)

Plan Details Page

Plan Name:	Plan ID:	Plan Status:	EIN:	PN:	Effective Date:	Plan Sponsor:
MY PAA 2.0 PROD CUT OVER CREATE TEST PLAN UPDATE	2420303	Active	131311313	121	04/24/2021	No Value

[Create Filing](#) [Submit Request](#) [Manage Roles](#) [Payment Voucher](#) [Account History](#)

[View Request Status](#) [View Correspondence](#)

[Premium Filings](#) [Team Members](#) [Admin/Contact Details](#)

Premium Filings

The table below lists all premium filings for this Plan (EIN/PN). To navigate to the Filing Details page for a specific filing, place your cursor over the desired Filing ID and select the Filing Details button. To view/print a PDF version of your filing, select the Filing Receipt button for the appropriate row. To amend a previously submitted filing, select the Amend button for the appropriate row.

Show entries Search:

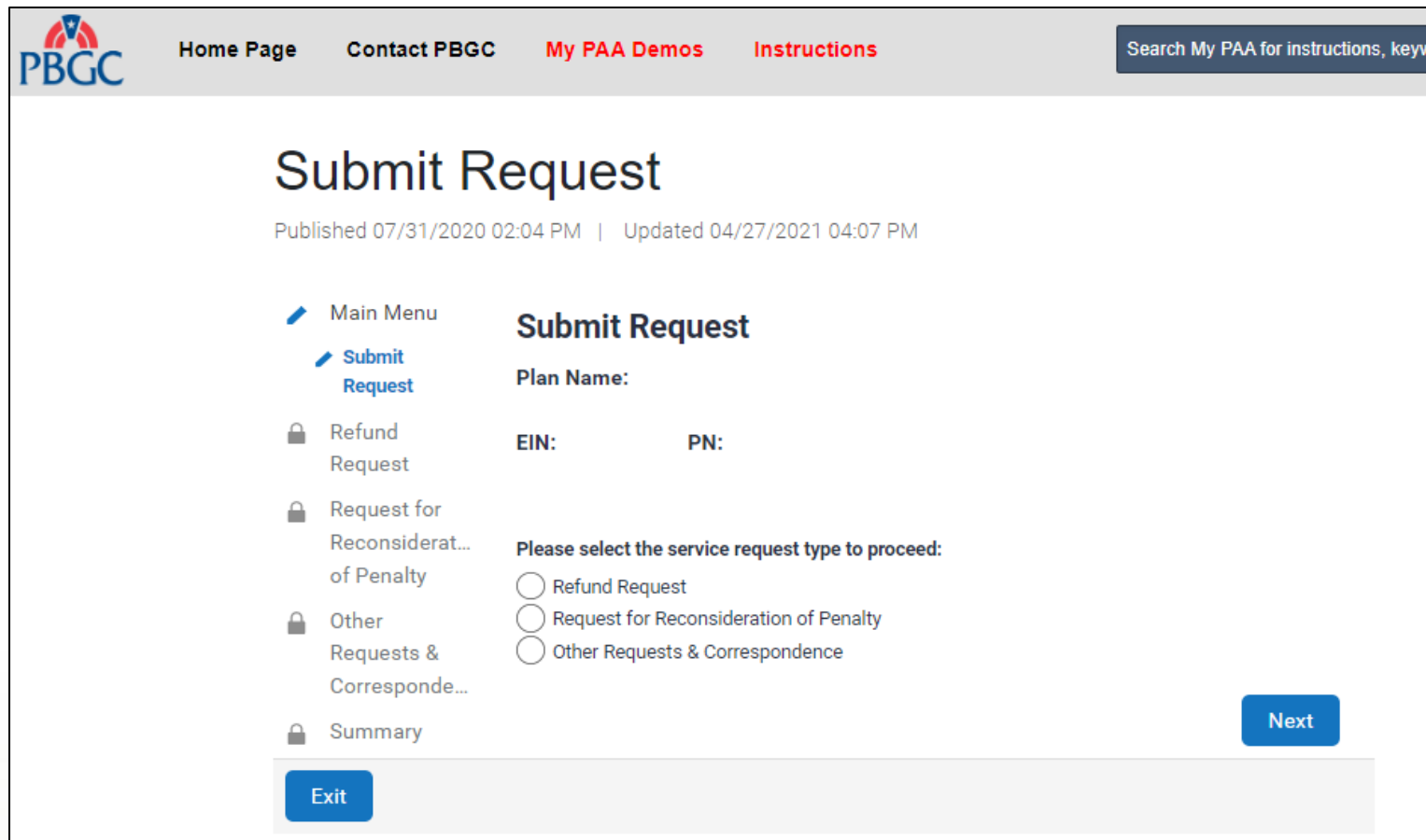
Filing ID	PYC	Submit Date	Status	Action	View PDF
535304			Draft	Filing Details	Filing Receipt
531237	01/01/2024		Draft	Filing Details	Filing Receipt

How to Submit a Request for Reconsideration (RFR)

Submit Request Page

Submit Service Request

- Select which type of service request you would like to submit:
 - Refund Request: If you have a credit/overpayment on a particular plan year and do not wish to use it as a credit towards premium amount due for the next plan year.
 - Request for Reconsideration of Penalty: If you have received a Statement of Account (SOA), and was charged penalty, you can contest the charges.
 - Other Requests & Correspondence: If you have received correspondence from the PBGC and would like further explanation or would simply like to respond.
- Once you have selected the appropriate request, click the “Next” button.



The screenshot shows the PBGC website's 'Submit Request' page. At the top, there is a navigation bar with links for 'Home Page', 'Contact PBGC', 'My PAA Demos', and 'Instructions'. A search bar on the right contains the text 'Search My PAA for instructions, key...'. The main heading is 'Submit Request', with a sub-heading 'Published 07/31/2020 02:04 PM | Updated 04/27/2021 04:07 PM'. On the left, a sidebar menu lists: 'Main Menu', 'Submit Request' (highlighted), 'Refund Request', 'Request for Reconsiderat... of Penalty', 'Other Requests & Corresponden...', and 'Summary'. The main content area is titled 'Submit Request' and includes a 'Plan Name:' field, 'EIN:' and 'PN:' fields, and a section titled 'Please select the service request type to proceed:' with three radio button options: 'Refund Request', 'Request for Reconsideration of Penalty', and 'Other Requests & Correspondence'. At the bottom right is a blue 'Next' button, and at the bottom left is a blue 'Exit' button.

How to Submit a Request for Reconsideration (RFR)

Submit Request Page

Request for Reconsideration of Penalty (RFR)

- Select the “Request for Reconsideration of Penalty” radio button and click on the “Next” button.
- It is important to note that you will only be able to submit an RFR if there is penalty charged to a plan (i.e., reflected on the plan’s Account History), and you should only submit an RFR once a Statement of Account (SOA) has been received by the plan.

Home Page Contact PBGC My PAA Demos Instructions Search My PAA for instructions, key

Submit Request

Published 07/31/2020 02:04 PM | Updated 04/27/2021 04:07 PM

- Main Menu
- Submit Request
- Refund Request
- Request for Reconsiderat... of Penalty
- Other Requests & Correspondence...
- Summary

Submit Request

Plan Name:
EIN: PN:

Please select the service request type to proceed:

Refund Request

Request for Reconsideration of Penalty

Other Requests & Correspondence

Next

Exit

How to Submit a Request for Reconsideration (RFR)

Submit Request Page

Mailing Address for Determination Letter

- PBGC will mail a formal determination letter to the mailing address of the Plan Administrator on file.
- If the requestor would like a copy of the determination letter sent to another mailing address, My PAA provides a section in the request interview to do so.
- Please ensure all information is reviewed and validated before proceeding in the request interview by clicking the “Next” button.

of Penalty

Other Requests & Correspondence

Summary

Premium Customer Service Center. Details can be found by clicking on the instructions [here](#).

To request reconsideration of a late premium penalty charge, please click the checkbox associated with the plan year in question. You will then be prompted to enter the total penalty amount associated with your request.

PYC:	Request Reconsideration?	Penalty Amount:
01/01/2020	<input type="checkbox"/>	
01/01/2018	<input checked="" type="checkbox"/>	\$50.00
01/01/2019	<input checked="" type="checkbox"/>	\$100.00
01/01/2021	<input type="checkbox"/>	

The final determination letter for this request for reconsideration will be mailed to the Plan Administrator's address per the most recent Comprehensive Premium Filing. If you are not the Plan Administrator or the Plan Administrator's representative, please check the box to the left and provide the additional address where you would also like a copy of this determination letter to be mailed.

Attn To:

Country:

Address 1:

Address 2:

City: State: Zip:

Attachments (optional):

How to Submit a Request for Reconsideration (RFR)

Submit Request Page

Request for Reconsideration of Penalty (RFR)

- My PAA will automatically display one, or all plan years that have been charged penalty, and are eligible for a RFR submission.
- Once you select the appropriate plan year, by clicking the “Request Reconsideration” checkbox, enter the amount of penalty you wish the PBGC to waive.
- You may also include attachments, which are optional.
 - PDF, Microsoft Word and Excel files are accepted.
 - Each file may not exceed 10MB.
 - All files total may not exceed 50MB.
- You may also include comments, which are optional, with your Refund Request.
 - Characters for the comments may not exceed the 400 limit.

Home Page Contact PBGC My PAA Demos Instructions Search My PAA for instructions, keywords or topics test@pbgc...

Request for Reconsideration of Penalty

Plan Name: EIN: PN:

Please refer to the Initial Determination Letter (IDL) you received for more information about how to request reconsideration. If you do not have the IDL, please contact the Premium Customer Service Center. Details can be found by clicking on the instructions [here](#).

To request reconsideration of a late premium penalty charge, please click the checkbox associated with the plan year in question. You will then be prompted to enter the total penalty amount associated with your request.

PVC:	Request Reconsideration?
01/01/2020	<input type="checkbox"/>
01/01/2018	<input checked="" type="checkbox"/>
01/01/2019	<input checked="" type="checkbox"/>
01/01/2021	<input type="checkbox"/>

The final determination letter for this request for reconsideration will be mailed to the Plan Administrator's representative, please check the left and provide the additional address where you would also like a copy of this determination letter to be mailed.

Attachments (optional):

Please select and upload the document(s) associated with your Request for Reconsideration of accrued penalty. Additional information related to Reconsideration can be found by clicking on the instructions [here](#).

Select and Attach File:

Add

Note: Any files selected must be a single PDF, Microsoft Word or Microsoft Excel file which can not exceed 10MB individually and all attachments 50MB in total.

Comments (optional):

400/400 characters remaining
Maximum number of characters is 400

Back Next

How to Submit a Request for Reconsideration (RFR)

Submit Request Page

Summary - Request for Reconsideration of Penalty (RFR)

- Before submission, please review all the items on the Summary Page to confirm the information is valid.
- If any changes must be made, please click the “Back” button to return to the previous pages.
- If the information is correct, click the “Submit” button to send the request to PBGC.

PBGC Home Page Contact PBGC My PAA Demos Instructions Search My PAA for instructions, keywords

Submit Request

Published 07/31/2020 02:04 PM | Updated 04/27/2021 04:07 PM

- ✓ Main Menu
- ✓ Refund Request
- ✓ Request for Reconsideration of Penalty
- ✓ Other Requests & Correspondence
- ✓ Summary
- ✓ Summary - Request for Reconsideration of Penalty

Summary - Request for Reconsideration of Penalty

Please review the information below. Navigate back and revise if necessary otherwise, please proceed with the submission of this request.
The pertinent details for this request are as follows:

EIN:
PN:
Plan Name:

PYC & Penalty Amount:

01/01/2018	\$50.00
01/01/2019	\$100.00

Provide additional address for determination letter: Yes

Attention To: John Smith

Mailing Address: 123 ABC Way
Washington, DC 20005
United States (US)

Attachments:

Comments: N/A

[Back](#) [Submit](#)

How to Submit a Request for Reconsideration (RFR)

Submit Request Page

Confirmation

- Once the request has been successfully submitted, you will be able to track the request on the “Check Status of Request” Page from the Plan Details Page.
- If you have additional comments, you may also refer to your Account Activity History.
 - Please refer to our [How to View My PAA Account Activity History Demo](#) for more information.
- You may now continue with any further premium-related task for this plan by clicking on the “Back to Plan Details” button.

The screenshot shows the PBGC website's 'Submit Request' confirmation page. At the top, there is a navigation bar with links for 'Home Page', 'Contact PBGC', 'My PAA Demos', and 'Instructions', along with a search bar. The main heading is 'Submit Request', with publication and update dates. A left-hand menu lists various options, with 'Confirmation' selected. The main content area displays a confirmation message, 'Plan Details' (with 'Plan Name' listed), and 'EIN/PN'. It provides instructions on how to check the status of the request and offers contact information for assistance. A 'Back to Plan Details' button is highlighted with a red box at the bottom right.

Confirmation

Your Request for Reconsideration of accrued penalty has been successfully submitted.

Plan Details:
Plan Name: :

EIN/PN:

To check the status of this request click on the "Back to Plan Details" button below. Then select the "Check Status of Request" link above the premium filing section.

If you have any questions about the status of this request, please send an email to pbgc_premiums@custhelp.com or call 1-800-736-2444 or 202-326-4242 and select the "premium" option. When you contact us, please reference the plan's EIN/PN shown above. Note: If you are deaf, hard of hearing, or have a speech disability, please dial 7-1-1 to access telecommunications relay services.

[Back to Plan Details](#)