# How to Submit a Request for Reconsideration of Penalty

My Plan Administration Account (My PAA)

Last Updated: January 8, 2023

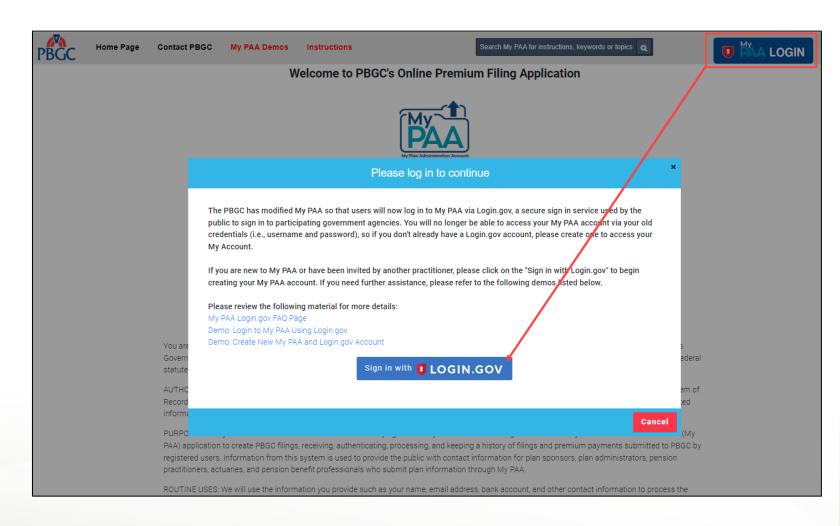


# Step-by-Step Instructions

# PBGC

#### Login

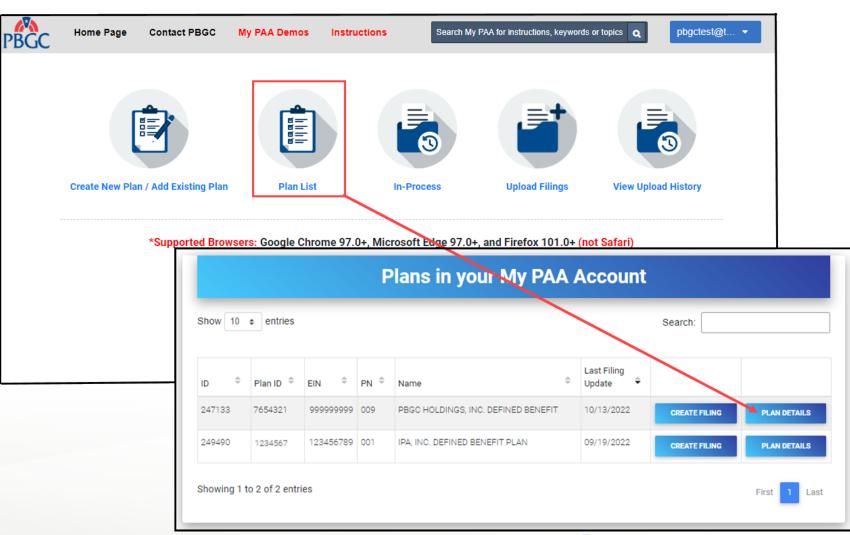
- From the Home Page, click on the "My PAA Login" button to log in.
- You will need to log in to your Login.gov account to access your My PAA account.
  - For more details and step-by-step instructions on how to access your My PAA account via Login.gov please view PBGC's <u>How to Log</u> <u>in to My PAA Using Login.gov?</u> Published Answer.





#### **Plan List Page**

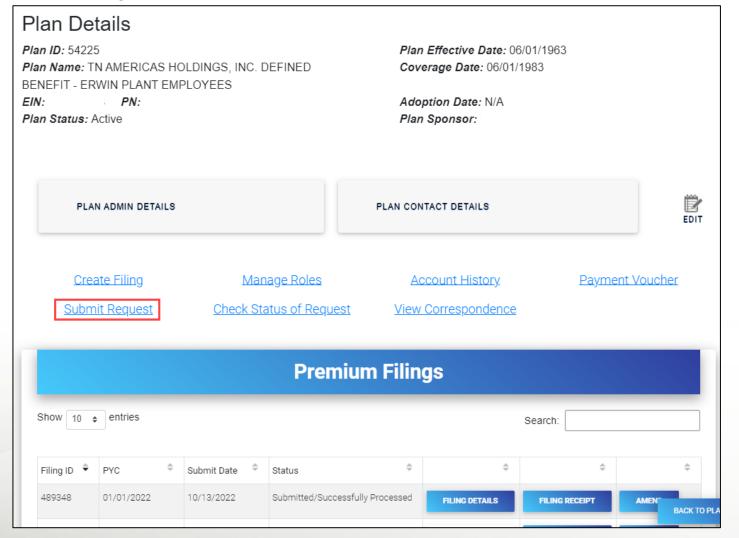
- Once you have logged in, click on the "Plan List" icon or link from the Home Page.
- From the Plan List Page, click on the "Plan Details" button for the plan you would like to submit a request for.
  - To successfully submit a request, the plan must be associated with your account.
  - You can search or sort the columns to find a particular plan.





#### **Plan Details Page**

From the Plan Details Page, click on the "Submit Request" link Below the "Plan Admin Details" button.

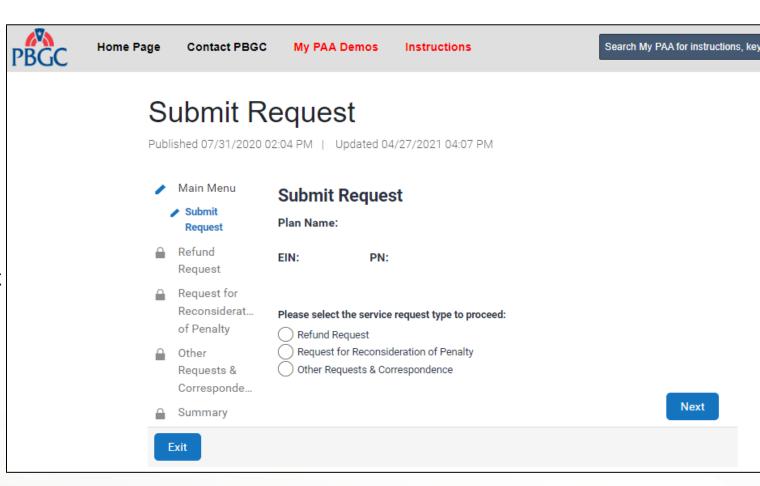




#### **Submit Request Page**

Submit Service Request

- Select which type of service request you would like to submit:
  - Refund Request: If you have a credit/overpayment on a particular plan year and do not wish to use it as a credit towards premium amount due for the next plan year.
  - Request for Reconsideration of Penalty: If you have received a Statement of Account (SOA), and was charged penalty, you can contest the charges.
  - Other Requests & Correspondence: If you have received correspondence from the PBGC and would like further explanation or would simply like to respond.
- Once you have selected the appropriate request, click the "Next" button.

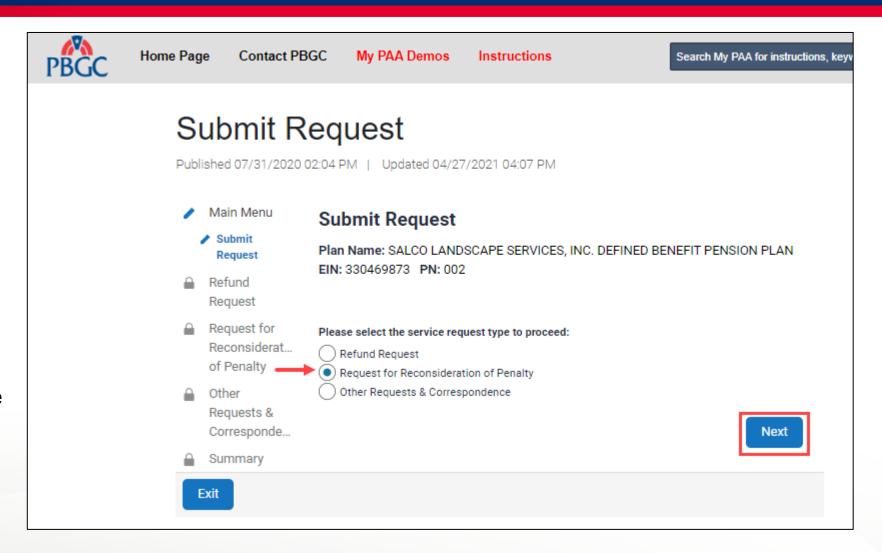




#### **Submit Request Page**

Request for Reconsideration of Penalty (RFR)

- Select the "Request for Reconsideration of Penalty" radio button and click on the "Next" button.
- will only be able to submit an RFR if there is penalty charged to a plan (i.e., reflected on the plan's Account History), and you should only submit an RFR once a Statement of Account (SOA) has been received by the plan.

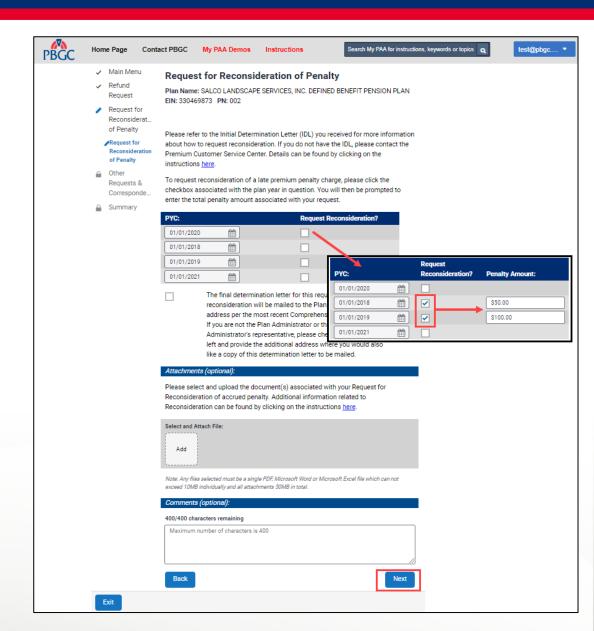




#### **Submit Request Page**

Request for Reconsideration of Penalty (RFR)

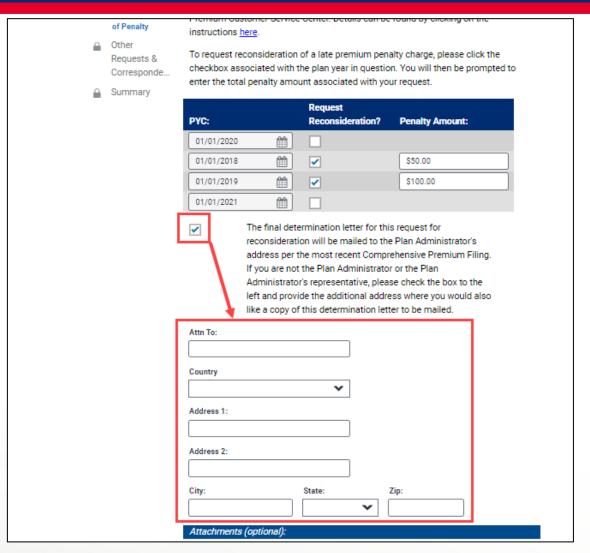
- My PAA will automatically display one, or all plan years that have been charged penalty, and are eligible for a RFR submission.
- Once you select the appropriate plan year, by clicking the "Request Reconsideration" checkbox, enter the amount of penalty you wish the PBGC to waive.
- You may also include attachments, which are optional.
  - PDF, Microsoft Word and Excel files are accepted.
  - Each file may not exceed 10MB.
  - All files total may not exceed 50MB.
- You may also include comments, which are optional, with your Refund Request.
  - Characters for the comments may not exceed the 400 limit.



#### **Submit Request Page**

Mailing Address for Determination Letter

- PBGC will mail a formal determination letter to the mailing address of the Plan Administrator on file.
- If the requestor would like a copy of the determination letter sent to another mailing address, My PAA (as of 01/08/2023) now provides a section in the request interview to do so.
- Please ensure all information is reviewed and validated before proceeding in the request interview by clicking the "Next" button.

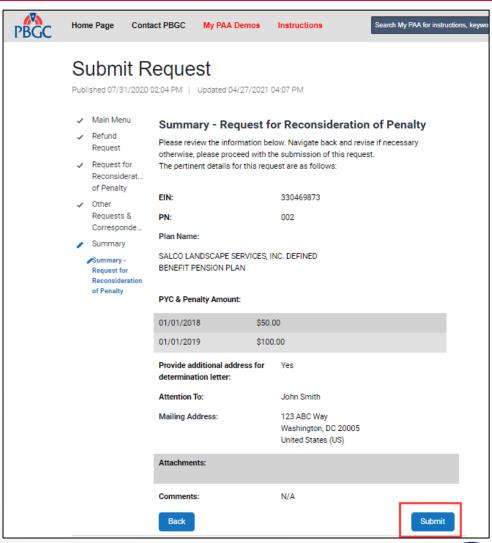




#### **Submit Request Page**

Summary - Request for Reconsideration of Penalty (RFR)

- Before submission, please review all the items on the Summary Page to confirm the information is valid.
- If any changes must be made, please click the "Back" button to return to the previous pages.
- If the information is correct, click the "Submit" button to send the request to PBGC.





#### **Submit Request Page**

#### Confirmation

- Once the request has been successfully submitted, you will be able to track the request on the "Check Status of Request" Page from the Plan Details Page.
- If you have additional comments, you may also refer to your Account Activity History.
  - Please refer to our <u>How to View My</u> <u>PAA Account Activity History</u> Demo for more information.
- You may now continue with any further premium-related task for this plan by clicking on the "Back to Plan Details" button.

